POL 235E:

THE EU’s COMMON SECURITY AND DEFENCE POLICY
IN THEORY AND PRACTICE

COURSE SYLLABUS
FALL 2013

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&
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1. PREREQUISITES

None. But background Knowledge from an introductory EU or International Relations Course is advantageous.

2. COURSE DESCRIPTION AND COURSE OBJECTIVES

The course provides an overview and in-depth-analysis of the historical evolution, institutional settings, procedures and core policies of the European Union’s Common Security and Defence Policy (CSDP) in both theory and practice. Whilst the evolution of the CSDP will be considered in the wider historical and theoretical context of the European Union as an International Actor since the end of the Cold War, the course provides and analysis of the EU’s Military and Civilian Operations carried out so far. Students will learn how to assess the effectiveness and impact of major international organizations, such as the EU and assess the main constraints and limitations of the EU as an international security actor.

In addition, the course will take a closer look at the EU’s foreign policy philosophy of ‘effective multilateralism’. i.e. the wide range of partnerships the EU has forged with security actors and international organizations in the context of CSDP missions. Particular emphasis will be placed on the theoretical and practical dimensions of EU-NATO and EU-UN cooperation and the growing competition between the EU and other IOs.

The course also provides students with an opportunity to conduct in-depth research on the role, function and performance of the European Union as an international security provider in the context of major military or civilian CSDP operations.

By the end of the course, it is hoped that students will have a firm grasp of

-in terms of substantive knowledge-

a) Background Knowledge on the historical evolution of the EU as an international security actor
b) The Conceptual and Normative Debates on the European Union as a distinct kind of power (military, civilian and normative)
c) The core EU institutions and policy preferences of the big and small EU member states
d) The Civilian Dimension of the Common Security and Defence Policy
e) The Military Dimension of the Common Security and Defence Policy
f) ‘Effective Multilateralism’ in Theory and Practice
g) The nature and implications of the increasing tendency of competition and cooperation with key international organizations (esp. The EU’s relations with NATO, the UN and African Union)
h) The EU’s ‘effectiveness’ and ‘performance’ as an international security actor and the scope for improvement

-and in terms of skills-

a) How to examine, understand, synthesize and apply core texts on the European Union as an International Security Actor
b) How to evaluate the ‘effectiveness’ and impact of International Organizations
c) how to carry out independent, in-depth research using a wide range of sources
d) how to structure and compose a research essay, based on the reading of major empirical and theoretical texts on the EU’s CSDP
e) effective networking / think tank attendance
3. COURSE MATERIALS

Course Material ranges from chapters of core textbooks and original journal articles by key EU and IR scholars. All materials will be made available to you via DROPBOX.

Furthermore, for current affairs awareness, you are required to keep yourself up-to-date through the Euoboserver.com, Economist, Financial Times and International Herald Tribune websites.

For a more in-depth coverage of EU-related issues, please consult the following academic journals:

- Journal of Common Market Studies
- European Journal of International Relations
- European Foreign Affairs Review
- Studia Diplomatica (www.egmontinstitute.be)
- Security Dialogue
- Conflict & Cooperation
- International Organization
- Global Governance
- International Affairs
- Survival
- International Peacekeeping

Useful Resources can also be found on the websites of the following think-tanks:

- European Council on Foreign Relations
- Centre for European Reform
- European Union Institute for Security Studies (EU ISS)
- International Crisis Group
- FORNET
- Global Governance Institute

4. TEACHING METHOD AND COURSE ELEMENTS

The teaching method will be based on a combination of lectures and interactive seminar-based teaching, which aims at encouraging students to engage in critical discussions as well as thorough and creative textual analysis and independent research. As a general rule (unless otherwise indicated in the course schedule), each session will be divided into two parts.

During the first part, the instructor provides an introductory lecture and general overview of the key issues, arguments and relevance of the session’s topic. Here, the students are encouraged to raise questions, arguments and their own interpretations of the set texts.

The second part of the three-hour block is devoted entirely to seminar-style student discussion. Here, you are encouraged to discuss and critically exchange your views on the main contentious points and arguments arising from the reading and the lecture. The core
emphasis is placed on an active exchange and discussion amongst students themselves and with the lecturer.

The three most important elements for a successful seminar session are: preparation, preparation, preparation.

**It goes without saying that all students are required to come to classes well-prepared with all of their reading assignments promptly and thoroughly completed.**

This will enable us as a group to critically assess empirical developments and scholarly analyses and arguments and to advance our own evaluations and views, based not on loose opinion, but firmly rooted in the academic discourse, scholarly literature and policy-oriented research.

### 5. ASSIGNMENTS AND ASSESSMENTS

Students will be assessed on their research, writing, evaluation and analysis as well as on their grasp of the course content with the help of two written exams. In addition to the two exams; there will be two assignments:

1. **Research Paper on a Specific EU CSDP Aspect of Your Choice**

   You are encouraged to carry out in-depth research of one key aspect of the European Union’s role, function, success and failures within the context of its emerging role as an International Security Actor and within the frame-work of the CSDP in particular. Your paper should focus on the EU’s performance, success, limitations and ‘effectiveness’ of its Civilian or Military Operations. You should make sure that your paper consists of a brief introduction of the EU’s CSDP and the analytical difficulties of the concept of ‘success’, ‘effectiveness’ and ‘performance’ for the purposes of evaluation.

   You may either focus on **EMPIRICAL ASPECTS**, e.g.,

   - the EU’s contribution towards stability and peace in a specific region or country (e.g. Congo, Bosnia or Somalia),
   - on a specific ‘bigger’ civilian operation (EULEX Kosovo),
   - on specific types of civilian operations (rule of law, monitoring or SSR
   - on one specific military operation (especially Artemis, EUFOR Althea or Chad)
   - on the overall military approach (i.e., general impact and patterns of all 6 operations)
   - the role member states (‘big’ or ‘small’)
   - the role and problems of the EU’s cooperation with major international organizations
   - the functioning of the core EU institutions and decision-making processes

   or **CONCEPTUAL / NORMATIVE ASPECTS**, e.g.

   - what kind of power should the EU be in the future? A civilian power? A Military? A Normative Power?
   - Should the EU strengthen other organizations?
   - Should EU institutions be granted more ‘supranational’ independence and autonomy?
- What is the future of the EU As a Security Actor?
- Should the EU place more emphasis on Diplomacy and Crisis Response?

Please keep in mind that the conclusion of your essays (whether empirical or conceptual/normative) should entail a policy-oriented analysis of the successes, failures and recommendations for future improvement of the specific EU aspect under consideration.

Essays should be between 3,000 – 4,000 words long.

- All papers should have a title page with (your name, the course module, indication of minor/major, and the paper title)
- Each page of the text should be numbered
- A bibliography
- Uniform referencing (author, title, year of publication, publisher, place of publication, and page numbers for articles and direct references)

Your Essay is due on the 27 November, 1.30pm – hand in a hard copy and via e-mail to Joe.koops@gmail.com with “CSDP ESSAY” in the Subject Header.

You will also be required to submit it to TURN IT IN.

In addition, by the 2nd of October you need to have submitted a research proposal for your Research Essay:

The research proposal is the first step towards the final paper. It should include:

- A clear research question: the questions should be as clear and simple as reasonably possible. The research question may be a mere empirical or factual question, it may be theoretically inspired, above all it should be clear and straightforward.
- The research question may change and may be adapted as your research progresses. Often additional empirical/theoretical information may force you to reformulate or to fine-tune the research question in line with your findings. Irrespective of eventual changes your initial research questions should be as clear as possible.
- The research proposal should also contain a paper outline. The paper outline should provide an indication of how the student is going investigate the research question. The student has to explain how she/he is going to research the topic at hand, this entails an identification of sources and, where appropriate, the identification of a case (an event, a policy measure, a policy domain, etc.).
- The research proposal should also include a tentative lay-out of the research paper. The tentative structure and headings that are likely to appear in the final paper.
- The research proposal should include a bibliography directly related to the research question or research topic.
- Finally, the research proposal should indicate tentatively the policy-recommendations or area of focus for CSDP reform.
Please hand in your Research Proposal in class on Wednesday 2 October to the instructor as a hard copy AND per e-mail to Joe.koops@gmail.com with “CSDP Research Proposal” in the Subject Header.

ATTENTION!!!

If you fail to EMAIL Your Essay and Research Proposal, it will be considered as NOT submitted.

2. Think Thank Diary and Member State Analysis

CSDP is a much discussed topic among many policy analysts in and around Brussels. To make the most of your course within this policy hub, you are encouraged to attend as many think tank events as possible. As a minimum, you are required to attend during the course of this semester two events by Brussels-based think tanks or foundations relevant to the topic of the EU as a Security Actor and/or the policies of EU member states. In order to receive updates on events in Brussels, you need to sign up here: http://www.rpfrance.eu/Calendrier-des-think-tanks.173-.html (click on the right hand side on the box “Recevoir le calendrier”, fill out your email address – you will get an update on events each Monday).

You should keep a “think tank diary”, where you write down a summary of the event you attended and a short commentary and feed-back on your views. You should also be prepared to “Ask a question” at the event and network afterwards. Students will be asked to brief the class on the event during the next Wednesday following the event.

Secondly, you will be allocated in Week 2 to one of the four “EU Member State” groups (Germany, France, UK and the Nordics). Your task is to find out as much as possible about these countries’ approach to EU Security Policies and CSDP and to monitor each week main news stories or Analyses related to these countries. Please include this in your diary. You will need to argue and defend “your country’s position” in class and during the end of course simulation. In addition, you should choose one further EU country that interests you and monitor news on it on a weekly basis.
The weighing of the grades for the assignments will be:

- **Research Proposal**: 10 %
- **Written Essay**: 20 %
- **Think Tank Diary (incl. country notes)**: 20 %
- **Mid-Term Exam**: 20 %
- **Final Exam**: 30 %

Letter grades are given as follows:

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<thead>
<tr>
<th>Letter Grade</th>
<th>Scale of 20</th>
<th>Scale of 100</th>
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<tbody>
<tr>
<td>A</td>
<td>17.0-20.0</td>
<td>85-100</td>
</tr>
<tr>
<td>A-</td>
<td>16.1-16.9</td>
<td>81-84</td>
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<tr>
<td>B+</td>
<td>15.3-16.0</td>
<td>77-80</td>
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<tr>
<td>B</td>
<td>14.5-15.2</td>
<td>73-76</td>
</tr>
<tr>
<td>B-</td>
<td>13.7-14.4</td>
<td>69-72</td>
</tr>
<tr>
<td>C+</td>
<td>13.1-13.6</td>
<td>66-68</td>
</tr>
<tr>
<td>C</td>
<td>12.3-13.0</td>
<td>62-65</td>
</tr>
<tr>
<td>C-</td>
<td>11.5-12.2</td>
<td>58-61</td>
</tr>
<tr>
<td>D+</td>
<td>10.7-11.4</td>
<td>54-57</td>
</tr>
<tr>
<td>D</td>
<td>10.0-10.6</td>
<td>50-53</td>
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<tr>
<td>F</td>
<td>0.0-9.9</td>
<td>0-49</td>
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**Assessment Criteria for the Research Paper are:**

- Referencing, use of academic sources (academic journals, monographs).
- Language, style, clarity, organization of papers and work.
- Empirical precision: correct interpretation and reproduction of factual information.
- Capacity to synthesize arguments and to apply theory to empirical information.
- Capacity to analyze and to develop a critical assessment of both empirical as well as theoretical materials.
- Clear statements of guiding questions and subsequent conclusions.
- Thorough definition of ‘organizational effectiveness’ and application to a major area of the EU’s CSDP
- The ability to formulate policy-oriented recommendations

**Assessment Criteria for the Research Paper are:**

- Selection of relevant Think tank event
- Accurate summary of main discussion
- Original and in-depth personal reflection on topic discussed during the event
- Question asked during the event
- Networking with other participants (who have you met)
- Continuous analysis of news items and analyses on CSDP policies, approaches and initiatives by key member states

**Assessment Criteria for the Exams are:**

- Capacity to grasp and convey factual, conceptual and theoretical knowledge.
- Capacity to synthesize and apply concepts and theory to concrete cases.
- Capacity to develop a systematic argument based on theory and practice.
## 6. COURSE SCHEDULE

### Part 1. Introduction to the European Union as an International Security Actor

<table>
<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topic</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>Wednesday 28 Aug</td>
<td>Introduction to the Course. Overview of Syllabus and Requirements. EU Foreign Policy and CSDP: Clarifying the Basics</td>
</tr>
<tr>
<td>2</td>
<td>Wednesday 4 Sept</td>
<td>The European Union’s Evolution as a Security Actor: Conceptual History, Current Debates</td>
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<tr>
<td>3</td>
<td>Wednesday 11 Sept</td>
<td>Free Period to compensate for 27 September session.</td>
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### Part 2: Core Concepts, Key Actors

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<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topic</th>
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<tbody>
<tr>
<td>4</td>
<td>Wednesday 18 Sept</td>
<td>Preferences, Approaches and Legacies of the EU’s Core Member States vs. Institutional Actors</td>
</tr>
<tr>
<td>5</td>
<td>Wednesday 25 Sept</td>
<td>Effective Multilateralism? Hard Power, Soft Power and The EU’s Relationship with International Organizations (particular emphasis on EU-NATO relations)</td>
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<tr>
<td></td>
<td>27 September</td>
<td>NATO Field-Trip</td>
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<tr>
<td>6</td>
<td>Wednesday 2 Oct</td>
<td>Assessing the EU’s Impact &amp; Effectiveness: Challenges and Pitfalls HAND IN YOUR RESEARCH PROPOSAL</td>
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<td>7</td>
<td>Wednesday 9 Oct</td>
<td>Mid-Term Exams</td>
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### Part 3: The CSDP in Practice

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<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topic</th>
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<tbody>
<tr>
<td>8</td>
<td>Wednesday 16 Oct</td>
<td>The CSDP in Practice: The Civilian Dimension I</td>
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<tr>
<td>9</td>
<td>Wednesday 23 Oct</td>
<td>The CSDP in Practice: The Civilian Dimension II</td>
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**FALL RECESS: 28 October – 2 November**

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<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topic</th>
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<tbody>
<tr>
<td>10</td>
<td>Wednesday 6 Nov</td>
<td>The CSDP in Practice: The Military Dimension</td>
</tr>
<tr>
<td>11</td>
<td>Wednesday 13 Nov</td>
<td>Beyond CSDP? From Crisis Management to Crisis Response</td>
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<tr>
<td>12</td>
<td>Wednesday 20 Nov</td>
<td>No Class.</td>
</tr>
<tr>
<td>13</td>
<td>Wednesday 27 Nov</td>
<td>SIMULATION The Future of the European Union as an International Security Actor: ESSAYS DUE!</td>
</tr>
<tr>
<td>14</td>
<td>Wednesday 4 Dec</td>
<td>Revision</td>
</tr>
<tr>
<td>15</td>
<td></td>
<td>FINAL EXAMS</td>
</tr>
</tbody>
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7. READING LIST

Week 1, Session 1 (Wednesday 28 August 2013)

Introduction to the Course. Overview of Syllabus and Requirements. Introduction to the European Union as an International Security Actor

Week 2, Session 2 (4 September 2013)

The EU’s Evolution as a Security Actor: Conceptual History, Current Debates

Core Required Reading:

a) Joachim A. Koops (2011) The European Union as an Integrative Power – Chapter 4, pages 147 – 188

Further Background Reading for Students unfamiliar with EU Foreign Policy:

b) Fraser Cameron (2012) ‘From EPC to CFSP’, in idem, pp. 29 - 46

Week 3, Session 3 (11 September 2013)

(Free READING PERIOD to compensate for EPSS Guest lectures and NATO TRIP)

Please reading your own time, however, the following four articles

Core Reading

On Civilian Power:


On Military Power:


Normative Power

PART 2 – CORE CONCEPTS, KEY ACTORS

Week 4, Session 4 (Wednesday 18 September 2013)

Preferences, Approaches and Legacies of the EU’s Core Member States and Institutional Actors

Core Reading:

a) Fraser Cameron (2012) ‘The EU Foreign Policy Machinery’, in Fraser Cameron, An Introduction to European Foreign Policy, London: Routledge, pp. 47 - 62
c) Stephan Keukeleire and Jennifer McNaughtan (2008) EU Foreign Policy and National Foreign Policies, in the Foreign Policy of the European Union, pp. 124-147

Further Reading on Core Member States’ preferences and strategic cultures

On the ‘Big Three’


Further Reading on Nordic Countries


Week 5, Session 5 (Wednesday 25 September 2013)

Effective Multilateralism? Hard Power, Soft Power and The EU’s Relationship with International Organizations (particular emphasis on EU-NATO relations)

Core Reading:

a) Joachim A. Koops (2011), ‘What is Effective Multilateralism?’ in The EU As an Integrative Power, pp. 53-93
b) Hanna Ojanen (2011) ‘The EU as a Security Actor: in and with the UN and NATO’
c) Robert Kagan (2002) Power and Weakness, Policy Review No. 113
Further Reading on Hard vs. Soft Power:


Week 6, Session 6 (Wednesday 2 October 2013)

Assessing the EU’s Impact & Effectiveness: Challenges and Pitfalls
+ REVISION for Mid-term

Reading to be announced.

Week 7, Session 7 (Wednesday 9 October 2013)

MID-TERM EXAM

Success!

PART 3 – The CSDP In Practice

Week 8, Session 8 (Wednesday 16 October 2013)

You will receive feed-back on your performance on the mid-term exam and you have the opportunity to address any questions / difficulties you might have encountered during the exam and the course so far. Handing-in of your mid-term evaluation.

The CSDP in Practice: The Civilian Dimension I

Core Reading


EU Police Missions (All in Grevi et al (2009) ESDP the First 10 Years)

Further Reading:

e)

Week 9, Session 9 (Wednesday 26 October 2011)

The CSDP in Practice: The Civilian Dimension II

Reading:

Rule of Law


Security Sector Reform


Monitoring Missions


FALL RECESS 29 October – 5 November

Week 10, Session 10 (Wednesday 6 November 2013)

The CSDP in Practice: The Military Dimension

Core Reading

Fraser Cameron (2012) The Defence Dimension’, pp. 95 - 116

Further Reading (all in Grevi, ESDP: the first 10 years):

Week 11, Session 11 (Wednesday 13 November 2013)

Beyond CSDP? From Crisis Management to Crisis Response and Conflict Prevention (including European External Action Service)

Reading


Week 12, Session 12 (Wednesday 20 November 2013)

NO Class – Public Holiday. But please spend time on finalizing your Research Paper.

Week 13, Session 13 (Wednesday 27 November 2013)

SIMULATION – The Future of the European Union as an International Security Actor

This session will integrate the knowledge you acquired so far and will simulate the European Council in December on the future of CSDP.

HAND IN YOUR RESEARCH PAPER, please.

Week 14, Session 14 (Wednesday 4 December 2013) – Revision

Conclusion of the Course, Revision, Evaluation

In the final session you are invited to provide your feedback and evaluation of the course. The second half will be dedicated to exam questions and revision of the course material.

Week 15

FINAL EXAM

ALL THE BEST OF POSSIBLE SUCCESS!
8.
LIST OF
RELEVANT THINK TANKS IN BRUSSELS DEALING WITH EU FOREIGN POLICY

CEPS – www.ceps.be
EGMONT INSTITUTE www.egmontinstitute.be
SECURITY AND DEFENCE AGENDA (SDA) www.securitydefenceagenda.org
Konrad Adenauer Foundation Brussels http://www.kas.de/bruessel/en/events/
Friedrich Naumann Foundation Brussels http://www.en.freiheit.org/Aktuell/790c/index.html
Heinrich Boell Foundation http://www.boell.eu/
CARNegie EUROpe http://carnegieeurope.eu/
Global Governance Institute (GGI) www.globalgovernance.eu
Institute for European Studies (IES) www.ies.be

IN ORDER TO RECEIVE WEEKLY UPDATES ABOUT THE THINK TANK EVENTS,
PLEASE SIGN UP TO THE NEWSLETTER, HERE:
http://www.rpfrance.eu/-Calendrier-des-think-tanks,173-.html

9.
COURSE INSTRUCTOR’s BIO

Joachim Koops is Professor of Political Science, Head of the International Affairs Department and Academic Director of the European Peace & Security Studies (EPSS) Programme at Vesalius College, Free University of Brussels (VUB). He is also the Director of the Global Governance Institute (GGI) and Senior Associate Research at the Institute for European Studies (IES). His research focuses on the European Union as an International Security Actor, Global Governance, Cooperation and Rivalry between major international organisations (EU, NATO, UN and Gulf Cooperation Council) as well as United Nations Peacekeeping, Peacebuilding and Crisis Management. Recent and forthcoming publications include ‘Military Crisis Management: The Challenge of Inter-organizationalism’ (Egmont, 2010), “The European Union as an Integrative Power” (Palgrave, 2011), “The EU as a Diplomatic Actor” (Palgrave, 2014), Oxford Handbook on United Nations Peacekeeping Operations (Oxford, 2014), “The Responsibility to Protect” (Palgrave, 2011). Outside academia, Joachim has served as Advisor to the United Nations (2009: Lessons Learned Advisor of the UN Standby High Readiness Brigade, 2012: UN Department of Peacekeeping Operations, 2012-13: UN Liaison Office for Peace & Security, Brussels) and European Commission (from 2011 – present to DG Research & Innovation). He holds a BA in Philosophy, Politics & Economics from the University of Oxford, an MSC in European Law, Politics & Economics from the University of Turku (Finland), the Postgraduate Diploma in Legal Practice from the University of Oxford and a PhD in International Relations from the University of Kiel (Germany). For further info, see http://www.ies.be/users/joachim-koops